

Bangladesh Securities and Exchange Commission

Enforcement Division
Enforcement Department
Issuer Company Affairs Section
www.sec.gov.bd

BSEC/Enf/3727/2024/588

Date: August 18, 2026

Snigdha Equities Ltd. (TREC no. DSE 256)

Modhumita Cinema Hall Building
(5th Floor, North-West Corner)
158-160, Motijheel C/A
Dhaka-1000

Mr. Aminul Islam

CEO & Compliance Officer
Snigdha Equities Ltd.
Modhumita Cinema Hall Building
(5th Floor, North-West Corner)
158-160, Motijheel C/A
Dhaka-1000

Mr. Sarwar Morshed

Accounts In-Charge
Snigdha Equities Ltd.
Modhumita Cinema Hall Building
(5th Floor, North-West Corner)
158-160, Motijheel C/A
Dhaka-1000

Subject: Non-Compliance related to not maintaining sufficient fund of customers' in the Consolidated Customers' Account of the Company: Warning to ensure compliance of all securities related laws in future.

This refers to the Commission's show cause cum hearing notice no. BSEC/Enforcement/3727/2024/833 dated 28 October, 2024 based on which the hearing was conducted on 04 November, 2024.



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Subsequently, you have submitted your replies vide letter reference no. SEL/BSEC Reply/2024/008 dated November 04, 2024 where you mentioned your compliance status.

The Commission, considering your degree of non-compliance, has decided to **warn** you to be careful in future and also suggested to be cautious about maintaining due diligence related to securities related laws. Any divergence of securities related laws and failing of lawful responsibilities will be taken seriously whereas you will be brought under appropriate enforcement action.

You are hereby advised to follow up individual job responsibility of the employee and make them responsible about lapse found in the above-mentioned show-cause letter. Please note that this disposal does not absolve you from your lawful responsibilities/obligations to any person, if affected, as a result of such default.

For Bangladesh Securities and Exchange Commission,



Muhammad Sadequr Rahman Bhuiyan

Assistant Director (Enforcement)

E-mail: msrahman@sec.gov.bd

Copy for information:

1. Chairman Office, BSEC
2. PO to Commissioners, BSEC
3. PO to Executive Director (MIAD)
4. PO to Executive Director (ICTD), BSEC
5. Managing Director, Dhaka Stock Exchange PLC